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UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
SAN JOSE DIVISION

CAVE CONSULTING GROUP, LLC,  
  
Plaintiff,  
  
vs.  
OPTUMINSIGHT, INC., f/k/a  
INGENIX, INC.,  
  
Defendant.

Case No. 5:11-cv-0469-EJD

**STIPULATED PROTECTIVE ORDER  
FOR LITIGATION INVOLVING  
PATENTS, HIGHLY SENSITIVE  
CONFIDENTIAL INFORMATION  
AND/OR TRADE SECRETS**

**(MODIFIED BY THE COURT)**

1. PURPOSES AND LIMITATIONS

Disclosure and discovery activity in this action are likely to involve production of confidential, proprietary, or private information for which special protection from public disclosure and from use for any purpose other than prosecuting this litigation may be warranted. Accordingly, the parties hereby stipulate to and petition the court to enter the following Stipulated Protective Order. The parties acknowledge that this Order does not confer blanket protections on all disclosures or responses to discovery and that the protection it affords from public disclosure and use extends only to the limited information or items that are entitled to confidential treatment

under applicable legal principles. The parties further acknowledge that this Stipulated Protective Order does not entitle them to file confidential information under seal. Civil Local Rule 79-5 and General Order 62 set forth the procedures that must be followed and the standards that will be applied when a party seeks permission from the court to file material under seal.

## 2. DEFINITIONS

2.1 Challenging Party: a Party or Non-Party that challenges the designation of information or items under this Order.

2.2 “CONFIDENTIAL” Information or Items: information (regardless of how it is generated, stored or maintained) or tangible things that qualify for protection under Federal Rule of Civil Procedure 26(c).

2.3 Counsel (without qualifier): Outside Counsel of Record and House Counsel (as well as their support staff).

2.4 Designating Party: a Party or Non-Party that designates information or items that it produces in disclosures or in responses to discovery as “CONFIDENTIAL” or “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY.”

2.5 Disclosure or Discovery Material: all items or information, regardless of the medium or manner in which it is generated, stored, or maintained (including, among other things, testimony, transcripts, and tangible things), that are produced or generated in disclosures or responses to discovery in this matter.

2.6 Expert: a person with specialized knowledge or experience in a matter pertinent to the litigation who (1) has been retained by a Party or its counsel to serve as an expert witness or as a consultant in this action, (2) is not a current employee of either Party, or a past employee of the opposing party, and (3) at the time of retention, is not anticipated to become an employee of a Party or of a Party’s competitor.

2.7 “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” Information or Items: extremely sensitive “Confidential Information or Items,” disclosure of which to another Party or Non-Party would create a substantial risk of serious harm that could not be avoided by less restrictive means.

2.8 House Counsel: attorneys who are employees of a party to this action. House Counsel does not include Outside Counsel of Record or any other outside counsel.

2.9 Non-Party: any natural person, partnership, corporation, association, or other legal entity not named as a Party to this action.

2.10 Outside Counsel of Record: attorneys who are not employees of a party to this action but are retained to represent or advise a party to this action and have appeared in this action on behalf of that party or are affiliated with a law firm which has appeared on behalf of that party.

2.11 Party: any party to this action, including all of its officers, directors, and employees.

2.12 Producing Party: a Party or Non-Party that produces Disclosure or Discovery Material in this action.

2.13 Professional Vendors: persons or entities that provide litigation support services (e.g., photocopying, videotaping, translating, preparing exhibits or demonstrations, and organizing, storing, or retrieving data in any form or medium) and their employees and subcontractors.

2.14 Protected Material: any Disclosure or Discovery Material that is designated as "CONFIDENTIAL," or as "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY."

2.15 Receiving Party: a Party that receives Disclosure or Discovery Material from a Producing Party.

### 3. SCOPE

The protections conferred by this Stipulation and Order cover not only Protected Material (as defined above), but also (1) any information copied or extracted from Protected Material; (2) all copies, excerpts, summaries, or compilations of Protected Material; and (3) any testimony, conversations, or presentations by Parties or their Counsel that might reveal Protected Material. However, the protections conferred by this Stipulation and Order do not cover the following information: (a) any information that is in the public domain at the time of disclosure to a Receiving Party or becomes part of the public domain after its disclosure to a Receiving Party as a result of publication not involving a violation of this Order, including

1 becoming part of the public record through trial or otherwise; and (b) any information known to  
 2 the Receiving Party prior to the disclosure or obtained by the Receiving Party after the disclosure  
 3 from a source who obtained the information lawfully and under no obligation of confidentiality to  
 4 the Designating Party. Any use of Protected Material at trial shall be governed by a separate  
 5 agreement or order.

6 4. DURATION

7 Even after final disposition of this litigation, the confidentiality obligations imposed by  
 8 this Order shall remain in effect until a Designating Party agrees otherwise in writing or a court  
 9 order otherwise directs. Final disposition shall be deemed to be the later of (1) dismissal of all  
 10 claims and defenses in this action, with or without prejudice; and (2) final judgment herein after  
 11 the completion and exhaustion of all appeals, rehearings, remands, trials, or reviews of this action,  
 12 including the time limits for filing any motions or applications for extension of time pursuant to  
 13 applicable law. **For a period of six months after final disposition of this litigation, this  
 Court will retain jurisdiction to enforce the terms of this order.**

14 5. DESIGNATING PROTECTED MATERIAL

15 5.1 Exercise of Restraint and Care in Designating Material for Protection. Each Party  
 16 or Non-Party that designates information or items for protection under this Order must take care  
 17 to limit any such designation to specific material that qualifies under the appropriate standards.  
 18 To the extent it is practical to do so, the Designating Party must designate for protection only  
 19 those parts of material, documents, items, or oral or written communications that qualify – so that  
 20 other portions of the material, documents, items, or communications for which protection is not  
 21 warranted are not swept unjustifiably within the ambit of this Order.

22 Mass, indiscriminate designations are prohibited. Designations that are shown to be  
 23 clearly unjustified or that have been made for an improper purpose (e.g., to unnecessarily  
 24 encumber or retard the case development process or to impose unnecessary expenses and burdens  
 25 on other parties) expose the Designating Party to sanctions.

26 If it comes to a Designating Party's attention that information or items that it designated  
 27 for protection do not qualify for protection at all or do not qualify for the level of protection  
 28 initially asserted, that Designating Party must promptly notify all other parties that it is

1 withdrawing the mistaken designation.

2 5.2 Manner and Timing of Designations. Except as otherwise provided in this Order  
 3 (see, e.g., second paragraph of section 5.2(a) below), or as otherwise stipulated or ordered,  
 4 Disclosure or Discovery

5 Material that qualifies for protection under this Order must be clearly so designated before  
 6 the material is disclosed or produced.

7 Designation in conformity with this Order requires:

8 (a) for information in documentary form (e.g., paper or electronic documents, but  
 9 excluding transcripts of depositions or other pretrial or trial proceedings), that the Producing  
 10 Party affix the legend “CONFIDENTIAL” or “HIGHLY CONFIDENTIAL – ATTORNEYS’  
 11 EYES ONLY” to each page that contains protected material. If only a portion or portions of the  
 12 material on a page qualifies for protection, the Producing Party also must clearly identify the  
 13 protected portion(s) (e.g., by making appropriate markings in the margins) and must specify, for  
 14 each portion, the level of protection being asserted.

15 A Party or Non-Party that makes original documents or materials available for inspection  
 16 need not designate them for protection until after the inspecting Party has indicated which  
 17 material it would like copied and produced. During the inspection and before the designation, all  
 18 of the material made available for inspection shall be deemed “HIGHLY CONFIDENTIAL –  
 19 ATTORNEYS’ EYES ONLY.” After the inspecting Party has identified the documents it wants  
 20 copied and produced, the Producing Party must determine which documents, or portions thereof,  
 21 qualify for protection under this Order. Then, before producing the specified documents, the  
 22 Producing Party must affix the appropriate legend (“CONFIDENTIAL” or “HIGHLY  
 23 CONFIDENTIAL – ATTORNEYS’ EYES ONLY”) to each page that contains Protected  
 24 Material. If only a portion or portions of the material on a page qualifies for protection, the  
 25 Producing Party also must clearly identify the protected portion(s) (e.g., by making appropriate  
 26 markings in the margins) and must specify, for each portion, the level of protection being  
 27 asserted.

28 (b) for testimony given in deposition or in other pretrial or trial proceedings, that

1 the Designating Party identify on the record, before the close of the deposition, hearing, or other  
 2 proceeding, all protected testimony and specify the level of protection being asserted. When it is  
 3 impractical to identify separately each portion of testimony that is entitled to protection and it  
 4 appears that substantial portions of the testimony may qualify for protection, the Designating  
 5 Party may invoke on the record (before the deposition, hearing, or other proceeding is concluded)  
 6 a right to have up to 21 days to identify the specific portions of the testimony as to which  
 7 protection is sought and to specify the level of protection being asserted. Only those portions of  
 8 the testimony that are appropriately designated for protection within the 21 days shall be covered  
 9 by the provisions of this Stipulated Protective Order. Alternatively, a Designating Party may  
 10 specify, at the deposition or up to 21 days afterwards if that period is properly invoked, that the  
 11 entire transcript shall be treated as “CONFIDENTIAL” or “HIGHLY CONFIDENTIAL –  
 12 ATTORNEYS’ EYES ONLY.”

13 Parties shall give the other parties notice if they reasonably expect a deposition, hearing or  
 14 other proceeding to include Protected Material so that the other parties can ensure that only  
 15 authorized individuals who have signed the “Acknowledgment and Agreement to Be Bound”  
 16 (Exhibit A) are present at those proceedings. The use of a document as an exhibit at a deposition  
 17 shall not in any way affect its designation as “CONFIDENTIAL” or “HIGHLY  
 18 CONFIDENTIAL – ATTORNEYS’ EYES ONLY.”

19 Transcripts containing Protected Material shall have an obvious legend on the title page  
 20 that the transcript contains Protected Material, and the title page shall be followed by a list of all  
 21 pages (including line numbers as appropriate) that have been designated as Protected Material and  
 22 the level of protection being asserted by the Designating Party. The Designating Party shall  
 23 inform the court reporter of these requirements. Any transcript that is prepared before the  
 24 expiration of a 21-day period for designation shall be treated during that period as if it had been  
 25 designated “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” in its entirety unless  
 26 otherwise agreed. After the expiration of that period, the transcript shall be treated only as  
 27 actually designated.

28 (c) for information produced in some form other than documentary and for any

1 other tangible items, that the Producing Party affix in a prominent place on the exterior of the  
 2 container or containers in which the information or item is stored the legend “CONFIDENTIAL”  
 3 or “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY.” If only a portion or portions of  
 4 the information or item warrant protection, the Producing Party, to the extent practicable, shall  
 5 identify the protected portion(s) and specify the level of protection being asserted.

6 5.3 Inadvertent Failures to Designate. If timely corrected, an inadvertent failure to  
 7 designate qualified information or items does not, standing alone, waive the Designating Party’s  
 8 right to secure protection under this Order for such material. Upon timely correction of a  
 9 designation, the Receiving Party must make reasonable efforts to assure that the material is  
 10 treated in accordance with the provisions of this Order.

## 11 6. CHALLENGING CONFIDENTIALITY DESIGNATIONS

12 6.1 Timing of Challenges. Any Party or Non-Party may challenge a designation of  
 13 confidentiality at any time. Unless a prompt challenge to a Designating Party’s confidentiality  
 14 designation is necessary to avoid foreseeable, substantial unfairness, unnecessary economic  
 15 burdens, or a significant disruption or delay of the litigation, a Party does not waive its right to  
 16 challenge a confidentiality designation by electing not to mount a challenge promptly after the  
 17 original designation is disclosed.

18 6.2 Meet and Confer. The Challenging Party shall initiate the dispute resolution  
 19 process by providing written notice of each designation it is challenging and describing the basis  
 20 for each challenge. To avoid ambiguity as to whether a challenge has been made, the written  
 21 notice must recite that the challenge to confidentiality is being made in accordance with this  
 22 specific paragraph of the Protective Order. The parties shall attempt to resolve each challenge in  
 23 good faith and must begin the process by conferring directly (in voice to voice dialogue; other  
 24 forms of communication are not sufficient) within 14 days of the date of service of notice. In  
 25 conferring, the Challenging Party must explain the basis for its belief that the confidentiality  
 26 designation was not proper and must give the Designating Party an opportunity to review the  
 27 designated material, to reconsider the circumstances, and, if no change in designation is offered,  
 28 to explain the basis for the chosen designation. A Challenging Party may proceed to the next



stage of the challenge process only if it has engaged in this meet and confer process first or establishes that the Designating Party is unwilling to participate in the meet and confer process in a timely manner.

6.3 Judicial Intervention. If the Parties cannot resolve a challenge without court intervention, the ~~Challenging Party shall file and serve a motion under Civil Local Rule 7 (and in~~ **parties shall comply with the undersigned's Standing Order re Civil Discovery Disputes** ~~compliance with Civil Local Rule 7-5 and General Order 62, if applicable)~~ within 21 days of the initial notice of challenge or within 14 days of the parties agreeing that the meet and confer process will not resolve their dispute, whichever is earlier. ~~Each such motion must be~~ **Any Discovery Dispute Joint Report shall affirm that** ~~accompanied by a competent declaration attesting that the movant has complied with the meet~~ and confer requirements imposed in the preceding paragraph. **have been satisfied.**

The burden of persuasion in any such challenge proceeding shall be on the Designating Party. Frivolous challenges and those made for an improper purpose (e.g., to harass or impose unnecessary expenses and burdens on other parties) may expose the Challenging Party to sanctions. All parties shall continue to afford the material in question the level of protection to which it is entitled under the Producing Party's designation until the court rules on the challenge.

## 7. ACCESS TO AND USE OF PROTECTED MATERIAL

7.1 Basic Principles. A Receiving Party may use Protected Material that is disclosed or produced by another Party or by a Non-Party in connection with this case only for prosecuting, defending, or attempting to settle this litigation. Such Protected Material may be disclosed only to the categories of persons and under the conditions described in this Order. When the litigation has been terminated, a Receiving Party must comply with the provisions of section 14 below (FINAL DISPOSITION).

Protected Material must be stored and maintained by a Receiving Party at a location and in a secure manner that ensures that access is limited to the persons authorized under this Order.

7.2 Disclosure of "CONFIDENTIAL" Information or Items. Unless otherwise ordered by the court or permitted in writing by the Designating Party, a Receiving Party may disclose any information or item designated "CONFIDENTIAL" only to:

(a) the Receiving Party's Outside Counsel of Record in this action, as well as



employees of said Outside Counsel of Record to whom it is reasonably necessary to disclose the information for this litigation;

(b) the officers, directors, and employees (including House Counsel) of the Receiving Party to whom disclosure is reasonably necessary for this litigation and who have signed the “Acknowledgment and Agreement to Be Bound” (Exhibit A);

(c) Experts (as defined in this Order) of the Receiving Party to whom disclosure is reasonably necessary for this litigation and who have signed the “Acknowledgment and Agreement to Be Bound” (Exhibit A);

(d) the court and its personnel;

(e) court reporters and their staff, professional jury or trial consultants, and Professional Vendors to whom disclosure is reasonably necessary for this litigation;

(f) during their depositions, witnesses in the action to whom disclosure is reasonably necessary and who have signed the “Acknowledgment and Agreement to Be Bound” (Exhibit A);

(g) the author or recipient of a document containing the information or a custodian or other person who otherwise possessed or knew the information.

7.3 Disclosure of “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” Information or Items. Unless otherwise ordered by the court or permitted in writing by the Designating Party, a Receiving Party may disclose any information or item designated “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” only to:

(a) the Receiving Party’s Outside Counsel of Record in this action, as well as employees of said Outside Counsel of Record to whom it is reasonably necessary to disclose the information for this litigation;

(b) Experts of the Receiving Party (1) to whom disclosure is reasonably necessary for this litigation, (2) who have signed the “Acknowledgment and Agreement to Be Bound” (Exhibit A), and (3) as to whom the procedures set forth in paragraph 7.4(a)(2), below, have been followed;

(c) the court and its personnel;

(d) court reporters and their staff, professional jury or trial consultants, and Professional Vendors to whom disclosure is reasonably necessary for this litigation; and

(e) the author or recipient of a document containing the information or a custodian or other person who otherwise possessed or knew the information.

7.4 Procedures for Approving or Objecting to Disclosure of “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” Information or Items to Designated House Counsel or Experts.

(a)(1) Unless otherwise ordered by the court or agreed to in writing by the Designating Party information designated “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” pursuant to paragraph 7.3(b) shall not be disclosed to House Counsel. The parties agree that it may be productive for House Counsel to have access to certain, limited information designated “HIGHLY CONFIDENTIAL-ATTORNEYS’ EYES ONLY” for certain purposes, for example to evaluate and advise concerning settlement or to assist with trial preparation. The parties agree that consent to such access shall not be unreasonably withheld if a party requests access to information that is reasonably necessary for House Counsel to assist in the evaluation concerning possible settlement of the matter, or to assist with trial preparation.

(a)(2) Unless otherwise ordered by the court or agreed to in writing by the Designating Party, a Party that seeks to disclose to an Expert (as defined in this Order) any information or item that has been designated “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” pursuant to paragraph 7.3(c) first must make a written request to the Designating Party that: (1) identifies the general categories of “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” information that the Receiving Party seeks permission to disclose to the Expert; (2) sets forth the full name of the Expert and the city and state of his or her primary residence; (3) attaches a copy of the Expert’s current resume; (4) identifies the Expert’s current employer(s); (5) identifies each person or entity from whom the Expert has received compensation or funding for work in his or her areas of expertise or to whom the expert has provided professional services, including in connection with a litigation, at any time during the preceding five years; and (6) identifies (by name and number of the case, filing date, and location of court) any litigation in connection with which the Expert has offered expert testimony, including through a declaration,

1 report, or testimony at a deposition or trial, during the preceding five years.

2 (b) A Party that makes a request and provides the information specified in the  
3 preceding respective paragraph 7.4(a)(2) may disclose the subject Protected Material to the  
4 identified Expert unless, within 14 days of delivering the request, the Party receives a written  
5 objection from the Designating Party. Any such objection must set forth in detail the grounds on  
6 which it is based.

7 (c) A Party that receives a timely written objection must meet and confer with the  
8 Designating Party (through direct voice to voice dialogue) to try to resolve the matter by  
9 agreement within seven days of the written objection. If no agreement is reached, ~~the Party~~  
10 **the parties shall comply with the undersigned's Standing Order re Civil Discovery Disputes.**  
~~seeking to make the disclosure to the Expert may file a motion as provided in Civil Local Rule 7~~  
11 ~~(and in compliance with Civil Local Rule 79.5 and General Order 62, if applicable) seeking~~  
12 ~~permission from the court to do so. Any such motion must describe the circumstances with~~  
13 ~~specificity, set forth in detail the reasons why the disclosure to the Expert is reasonably necessary,~~  
14 ~~assess the risk of harm that the disclosure would entail, and suggest any additional means that~~  
15 ~~could be used to reduce that risk. In addition, any such motion must be accompanied by a~~  
16 ~~competent declaration describing the parties' efforts to resolve the matter by agreement (i.e., the~~  
17 ~~extent and the content of the meet and confer discussions) and setting forth the reasons advanced~~  
18 ~~by the Designating Party for its refusal to approve the disclosure.~~

19 In any such proceeding, the Party opposing disclosure to the Expert shall bear the burden  
20 of proving that the risk of harm that the disclosure would entail (under the safeguards proposed)  
21 outweighs the Receiving Party's need to disclose the Protected Material to its Expert.

## 22 8. PROSECUTION BAR

23 Absent written consent from the Producing Party, any individual who receives access to  
24 "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY" information of a technical nature  
25 shall not be involved, on behalf of the Receiving Party or any party that is competing or  
26 attempting to compete with the Producing Party, in the prosecution of patents or patent  
27 applications relating to the subject matter of the patented inventions and the highly confidential  
28 technical information to be produced before any foreign or domestic agency, including the

United States Patent and Trademark Office (“the Patent Office”). For purposes of this paragraph, “prosecution” includes directly or indirectly drafting, amending, advising, or otherwise affecting the scope or maintenance of patent claims. To avoid any doubt, “prosecution” as used in this paragraph does not include representing a party challenging a patent before a domestic or foreign agency (including, but not limited to, a reissue protest, *ex parte* reexamination or *inter partes* reexamination). This Prosecution Bar shall begin when access to “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” information of a technical nature is first received by the affected individual and shall end two (2) years after final termination of this action.

9. SOURCE CODE

(a) To the extent production of source code becomes necessary in this case, a Producing Party may designate source code as “HIGHLY CONFIDENTIAL – SOURCE CODE” if it comprises or includes confidential, proprietary or trade secret source code.

(b) Protected Material designated as “HIGHLY CONFIDENTIAL – SOURCE CODE” shall be subject to all of the protections afforded to “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” information, including the Prosecution Bar set forth in Paragraph 8, and may be disclosed only to the individuals to whom “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” information may be disclosed, as set forth in Paragraphs 7.3 and 7.4, with the exception of Designated House Counsel.

(c) Any source code produced in discovery shall be made available for inspection, in a format allowing it to be reasonably reviewed and searched, during normal business hours or at other mutually agreeable times, at an office of the Producing Party’s counsel or another mutually agreed upon location. The source code shall be made available for inspection on a secured computer in a secured room without Internet access or network access to other computers, and the Receiving Party shall not copy, remove, or otherwise transfer any portion of the source code onto any recordable media or recordable device. The Producing Party may visually monitor the activities of the Receiving Party’s representatives during any source code review, but only to ensure that there is no unauthorized recording, copying, or transmission of the source code.

(d) The Receiving Party may request paper copies of limited portions of source code

1 that are reasonably necessary for the preparation of court filings, pleadings, expert reports, or  
 2 other papers, or for deposition or trial, but shall not request paper copies for the purpose of  
 3 reviewing the source code other than electronically as set forth in paragraph (c) in the first  
 4 instance. The Producing Party shall provide all such source code in paper form, including bates  
 5 numbers and the label “HIGHLY CONFIDENTIAL – SOURCE CODE.” The Producing Party  
 6 may challenge the amount of source code requested in hard copy form pursuant to the dispute  
 7 resolution procedure and timeframes set forth in Paragraph 6 whereby the Producing Party is the  
 8 “Challenging Party” and the Receiving Party is the “Designating Party” for purposes of dispute  
 9 resolution.

10 (e) The Receiving Party shall maintain a record of any individual who has inspected  
 11 any portion of the source code in electronic or paper form. The Receiving Party shall maintain all  
 12 paper copies of any printed portions of the source code in a secured, locked area. The Receiving  
 13 Party shall not create any electronic or other images of the paper copies and shall not convert any  
 14 of the information contained in the paper copies into any electronic format. The Receiving Party  
 15 shall only make additional paper copies if such additional copies are (1) necessary to prepare  
 16 court filings, pleadings, or other papers (including a testifying expert’s expert report), (2)  
 17 necessary for deposition, or (3) otherwise necessary for the preparation of its case. Any paper  
 18 copies used during a deposition shall be retrieved by the Producing Party at the end of each day  
 19 and must not be given to or left with a court reporter or any other unauthorized individual.

20 10. PROTECTED MATERIAL SUBPOENAED OR ORDERED PRODUCED IN OTHER  
 21 LITIGATION

22 If a Party is served with a subpoena or a court order issued in other litigation that  
 23 compels disclosure of any information or items designated in this action as “CONFIDENTIAL”  
 24 or “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” that Party must:

25 (a) promptly notify in writing the Designating Party. Such notification shall  
 26 include a copy of the subpoena or court order;

27 (b) promptly notify in writing the party who caused the subpoena or order to issue  
 28 in the other litigation that some or all of the material covered by the subpoena or order is subject

1 to this Protective Order. Such notification shall include a copy of this Stipulated Protective Order;  
2 and

3 (c) cooperate with respect to all reasonable procedures sought to be pursued by the  
4 Designating Party whose Protected Material may be affected.

5 If the Designating Party timely seeks a protective order, the Party served with the  
6 subpoena or court order shall not produce any information designated in this action as  
7 “CONFIDENTIAL” or “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” before a  
8 determination by the court from which the subpoena or order issued, unless the Party has obtained  
9 the Designating Party’s permission. The Designating Party shall bear the burden and expense of  
10 seeking protection in that court of its confidential material – and nothing in these provisions  
11 should be construed as authorizing or encouraging a Receiving Party in this action to disobey a  
12 lawful directive from another court.

13 11. A NON-PARTY’S PROTECTED MATERIAL SOUGHT TO BE PRODUCED IN THIS  
14 LITIGATION

15 (a) The terms of this Order are applicable to information produced by a Non-  
16 Party in this action and designated as “CONFIDENTIAL” or “HIGHLY CONFIDENTIAL –  
17 ATTORNEYS’ EYES ONLY.” Such information produced by Non-Parties in connection with  
18 this litigation is protected by the remedies and relief provided by this Order. Nothing in these  
19 provisions should be construed as prohibiting a Non-Party from seeking additional protections.

20 (b) In the event that a Party is required, by a valid discovery request, to  
21 produce a Non-Party’s confidential information in its possession, and the Party is subject to an  
22 agreement with the Non-Party not to produce the Non-Party’s confidential information, then the  
23 Party shall:

24 1. promptly notify in writing the Requesting Party and the Non-Party that  
25 some or all of the information requested is subject to a confidentiality agreement with a Non-  
26 Party;

27 2. promptly provide the Non-Party with a copy of the Stipulated Protective  
28 Order in this litigation, the relevant discovery request(s), and a reasonably specific description of

1 the information requested; and

2 3. make the information requested available for inspection by the Non-Party.

3 (c) If the Non-Party fails to object or seek a protective order from this court  
4 within 14 days of receiving the notice and accompanying information, the Receiving Party may  
5 produce the Non-Party's confidential information responsive to the discovery request. If the Non-  
6 Party timely seeks a protective order, the Receiving Party shall not produce any information in its  
7 possession or control that is subject to the confidentiality agreement with the Non-Party before a  
8 determination by the court. Absent a court order to the contrary, the Non-Party shall bear the  
9 burden and expense of seeking protection in this court of its Protected Material. **See Paragraph 16.**

10 12. UNAUTHORIZED DISCLOSURE OF PROTECTED MATERIAL

11 If a Receiving Party learns that, by inadvertence or otherwise, it has disclosed Protected  
12 Material to any person or in any circumstance not authorized under this Stipulated Protective  
13 Order, the Receiving Party must immediately (a) notify in writing the Designating Party of the  
14 unauthorized disclosures, (b) use its best efforts to retrieve all unauthorized copies of the  
15 Protected Material, (c) inform the person or persons to whom unauthorized disclosures were  
16 made of all the terms of this Order, and (d) request such person or persons to execute the  
17 "Acknowledgment and Agreement to Be Bound" that is attached hereto as Exhibit A.

18 13. INADVERTENT PRODUCTION OF PRIVILEGED OR OTHERWISE PROTECTED  
19 MATERIAL

20 When a Producing Party gives notice to Receiving Parties that certain inadvertently  
21 produced material is subject to a claim of privilege or other protection, the obligations of the  
22 Receiving Parties are those set forth in Federal Rule of Civil Procedure 26(b)(5)(B). This  
23 provision is not intended to modify whatever procedure may be established in an e-discovery  
24 order that provides for production without prior privilege review. Pursuant to Federal Rule of  
25 Evidence 502(d) and (e), insofar as the parties reach an agreement on the effect of disclosure of a  
26 communication or information covered by the attorney-client privilege or work product  
27 protection, the parties may incorporate their agreement in the stipulated protective order  
28 submitted to the court.



14. MISCELLANEOUS

14.1 Right to Further Relief. Nothing in this Order abridges the right of any person to seek its modification by the court in the future.

14.2 Right to Assert Other Objections. By stipulating to the entry of this Protective Order no Party waives any right it otherwise would have to object to disclosing or producing any information or item on any ground not addressed in this Stipulated Protective Order. Similarly, no Party waives any right to object on any ground to use in evidence of any of the material covered by this Protective Order.

14.3 Filing Protected Material. Without written permission from the Designating Party or a court order secured after appropriate notice to all interested persons, a Party may not file in the public record in this action any Protected Material. A Party that seeks to file under seal any Protected Material must comply with Civil Local Rule 79-5 and General Order 62. Protected Material may only be filed under seal pursuant to a court order authorizing the sealing of the specific Protected Material at issue. Pursuant to Civil Local Rule 79-5 and General Order 62, a sealing order will issue only upon a request establishing that the Protected Material at issue is privileged, protectable as a trade secret, or otherwise entitled to protection under the law. If a Receiving Party's request to file Protected Material under seal pursuant to Civil Local Rule 79-5(d) and General Order 62 is denied by the court, then the Receiving Party may file the Protected Material in the public record pursuant to Civil Local Rule 79-5(e) unless otherwise instructed by the court.

15. FINAL DISPOSITION

Within 60 days after the final disposition of this action, as defined in paragraph 4, each Receiving Party must return all Protected Material to the Producing Party or destroy such material. As used in this subdivision, "all Protected Material" includes all copies, abstracts, compilations, summaries, and any other format reproducing or capturing any of the Protected Material. Whether the Protected Material is returned or destroyed, the Receiving Party must submit a written certification to the Producing Party (and, if not the same person or entity, to the Designating Party) by the 60-day deadline that (1) identifies (by category, where appropriate) all

the Protected Material that was returned or destroyed and (2) affirms that the Receiving Party has not retained any copies, abstracts, compilations, summaries or any other format reproducing or capturing any of the Protected Material. Notwithstanding this provision, Counsel are entitled to retain an archival copy of all pleadings, motion papers, trial, deposition, and hearing transcripts, legal memoranda, correspondence, deposition and trial exhibits, expert reports, attorney work product, and consultant and expert work product, even if such materials contain Protected Material. Any such archival copies that contain or constitute Protected Material remain subject to this Protective Order as set forth in Section 4 (DURATION). \*\*

IT IS SO STIPULATED, THROUGH COUNSEL OF RECORD.

Dated: November 29, 2012

Respectfully submitted,  
FARELLA BRAUN + MARTEL LLP

**\*\*16. In the event of any discovery or disclosure dispute, the parties and any affected non-parties shall comply with the undersigned's Standing Order re Civil Discovery Disputes.**

By: /s/ Andrew Leibnitz  
Andrew Leibnitz

ARMSTRONG TEASDALE, LLP  
David W. Harlan (*pro hac vice*)  
B. Scott Eidson (*pro hac vice*)  
Richard L. Brophy (*pro hac vice*)

Attorneys for Plaintiff  
CAVE CONSULTING GROUP, LLC

Dated: November 29, 2012

DORSEY & WHITNEY LLP

By: /s/ J. Thomas Vitt  
J. Thomas Vitt (*pro hac vice*)

Patricia A. Welch (Cal. Bar No. 127889)

Attorneys for Defendant  
INGENIX, INC.

**CERTIFICATION OF ELECTRONIC SIGNATURES**

Pursuant to Civil Local Rule 5-1(i)(3), the undersigned filer of this document certifies that concurrence in the filing of this document has been obtained from each of the other signatories.

/s/ Andrew Leibnitz

Andrew Leibnitz

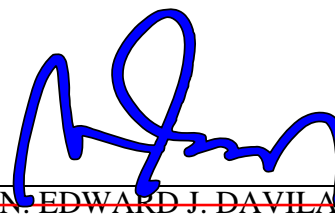
**~~PROPOSED~~ ORDER**

**AS MODIFIED BY THE COURT.**

PURSUANT TO STIPULATION, IT IS SO ORDERED this 26th day of ~~November,~~

^

~~2012.~~ July, 2013.



~~HON. EDWARD J. DAVILA~~  
~~United States District Judge~~

HON. HOWARD R. LLOYD  
United States Magistrate Judge

EXHIBIT AACKNOWLEDGMENT AND AGREEMENT TO BE BOUND

I, \_\_\_\_\_ [print or type full name], of \_\_\_\_\_  
 \_\_\_\_\_ [print or type full address], declare under penalty of perjury that I  
 have read in its entirety and understand the Stipulated Protective Order that was issued by the  
 United States District Court for the Northern District of California on [date] in the case of *Cave*  
*Consulting Group, Inc. v. Ingenix, Inc.*, Case No. 5:11-CV-469 EJD. I agree to comply with and  
 to be bound by all the terms of this Stipulated Protective Order and I understand and acknowledge  
 that failure to so comply could expose me to sanctions and punishment in the nature of contempt.  
 I solemnly promise that I will not disclose in any manner any information or item that is subject  
 to this Stipulated Protective Order to any person or entity except in strict compliance with the  
 provisions of this Order.

I further agree to submit to the jurisdiction of the United States District Court for the  
 Northern District of California for the purpose of enforcing the terms of this Stipulated Protective  
 Order, even if such enforcement proceedings occur after termination of this action.

I hereby appoint \_\_\_\_\_ [print or type full name] of  
 \_\_\_\_\_ [print or type full address and telephone  
 number] as my California agent for service of process in connection with this action or any  
 proceedings related to enforcement of this Stipulated Protective Order.

Date: \_\_\_\_\_

City and State where sworn and signed: \_\_\_\_\_

Printed name: \_\_\_\_\_  
 [printed name]

Signature: \_\_\_\_\_  
 [signature]